

Express Mail No.: EL504347837USUS

PATENT APPLICATION

Attorney Docket No.: 020431.0827

TRANSMITTAL FOR U.S. PATENT APPLICATION
UNDER 37 C.F.R. § 1.53(b)

Box: Patent Application
ASSISTANT COMMISSIONER FOR PATENTS
Washington, DC 20231

Sir:

Inventor or Application Identifier:

Yikang (nmi) Li

Transmitted herewith for filing is the patent application entitled:

ESTIMATING BASE SALES VOLUME USING A LOW-PASS FILTER APPROACH

 X Pursuant to 37 C.F.R. § 1.213(a), Applicant(s) respectfully requests that the above-identified patent application not be published under 37 U.S.C. § 122(b). Applicant(s) hereby certifies that the invention disclosed in the above-identified application has not been and will not be the subject of an application filed in another country, or under a multilateral international agreement, that requires publication at eighteen months after filing.

Enclosed are:

- X Specification, Claims and Abstract (35 Total Pages)
 X Drawing(s) (3 Total Sheet(s) of X Formal Informal)
 X Combined Declaration and Power of Attorney (3 Total Pages)
 X Newly executed (original or copy)

✓ X An Assignment of the invention to i2 Technologies, Inc. is attached. A separate cover sheet in compliance with 37 C.F.R. § 3.28 and § 3.31 is included with an Assignment recordal fee of \$40.00 pursuant to 37 C.F.R. § 1.21(h).

- X Certificate of Mailing (1 page)
 X Return Receipt Postcard
 X Bibliographic Datasheet (1 page)

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Applicant is:

☒ Large Entity
☐ Small Entity

The accompanying application is:

☒ Original
☐ Continuation ☐ Divisional ☐ Continuation-In-Part
(CIP) of prior application No. _____ which is hereby incorporated by
reference therein.

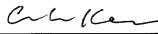
FEE CALCULATION (LARGE ENTITY)					FEE
	Number		Number Extra	Rate	<u>Basic Fee</u> \$710.00
Total Claims:	43	-20 =	23	X \$18.00	\$414.00
Independent Claims	4	- 3 =	1	X \$80.00	\$ 80.00
TOTAL FILING FEE =					\$1,204.00

☒ Enclosed is a check in the amount of \$1,204.00 for fees.

☒ Please charge any additional fees required by this paper to Deposit Account
No. 02-0384 of BAKER BOTTS L.L.P. A duplicate copy of this sheet is enclosed.

Respectfully submitted,

BAKER BOTTS L.L.P.



Christopher W. Kennerly
Reg. No. 40,675
Attorneys for Applicant

Dated: 4/9/01
CWK/bt

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IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Application of: Yikang (nmi) Li
Filing Date: April 9, 2001
Title: ESTIMATING BASE SALES VOLUME USING A LOW-
PASS FILTER APPROACH

BOX PATENT APPLICATION

Assistant Commissioner
for Patents
Washington, DC 20231

Dear Sir:

CERTIFICATE OF MAILING BY EXPRESS MAIL

I hereby certify that the attached Patent Application, Declaration and Power of Attorney, Assignment Recordation Form and Assignment, Fee Transmittal Sheet, Bibliographic Data Sheet (1 page), Certificate of Mailing, 3 sheets of Formal Drawings, and checks in the amounts of \$1,204.00 and \$40.00 are being deposited with the United States Postal Service "Express Mail Post Office to Addressee" service under 37 C.F.R. § 1.10 on this 9th day of April, 2001 and are addressed to the Assistant Commissioner for Patents, Washington, DC 20231.



Willie Jiles

Express Mail Receipt
No. EL759104985US
Attorney's Docket No.:
020431.0827